

Format for Declaration of Self Certification for the ARN Holder who is an individual / Karta of HUF

On appropriate letterhead (if available)

Date _____

AMFI Registration Number (ARN): _____

From: Name of the ARN Holder: _____

* Landline : _____ * Mobile : _____

* Email : _____

To CAMS, AMFI Unit

Sub: Declaration of Self-Certification (DSC): Period / Financial Year 2019-2020

"This is to certify that, in the course of my business in the distribution of mutual fund products during the period / financial year ended March 31 _____, I have adhered to the Code of Conduct contained in SEBI circular no. SEBI/IMD/CIR No. 8/174648/2009 dated August 27, 2009 and prescribed by AMFI from time to time and to the requirements as prescribed in SEBI's circular no. MFD/CIR/20/23230/2002 dated November 28, 2002 and the AMFI circular no CIR/ARN-09/08-09 dated July 18, 2008 has been adhered to.

In compliance with SEBI Circular no. SEBI/ IMD/CIR/No.4/168230/09 dated June 30, 2009, I have disclosed to investors all the commissions (in the form of trail commission or any other mode) payable to me for the different competing schemes of various mutual funds from amongst which the scheme was recommended to our investors.

I also certify that I have complied with the Know Your Distributor (KYD) requirements as per AMFI Guidelines. I further certify that I have read, understood and adhered to the SEBI and AMFI Guidelines issued from time to time. I certify that I am a distributor of mutual funds and registered with AMFI and that in my capacity / relationship as a distributor, I may provide investment advice to my clients, incidental to my primary activity of distribution of mutual fund products, which is exempted from registration under Regulation 4 (d) of Securities and Exchange Board of India (Investment Advisers) Regulations, 2013.

Kindly update this DSC on your records and with all the Fund Houses where I am empanelled _

(Signature of ARN Holder/ Karta of HUF)

* Information is desirable for all important communication to be disseminated efficiently