

Nomination Form

For Registration / Modification / Cancellation of Nominees / Opt out of Nomination
(for Individual Unit Holders only)

Date

D	D	M	M	Y	Y	Y	Y
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	Sole / First Unitholder	Second Unitholder	Third Unitholder																				
Name of the Holder																							
Folio	<table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>											PAN	<table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>										

(Please fill the appropriate section and strike out the other section which is not applicable.)

SECTION A

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.
This nomination shall supersede any prior nomination made by the account holder(s), if any.

Nomination Details								
	Mandatory Details						Additional Mandatory Details in case of a minor nominee	
	Name of Nominee	Share of nominee (%)*	Nominee Relationship**	Postal Address of nominees/Guardian in case of Minor	Mobile number & E-mail of nominees/Guardian in case of minor	Identity Number***	D.o.B. of nominee****	Guardian Name
1				<input type="checkbox"/> Postal address as per the applicant			DD/MM/YYYY	
2				<input type="checkbox"/> Postal address as per the applicant			DD/MM/YYYY	
3				<input type="checkbox"/> Postal address as per the applicant			DD/MM/YYYY	
4				<input type="checkbox"/> Postal address as per the applicant			DD/MM/YYYY	

ACKNOWLEDGMENT SLIP (To be filled in by the investor)

Request for ☐ Registration ☐ Modification ☐ Cancellation of Nominees ☐ Opt out of Nomination

Folio No.

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Date:

D	D	M	M	Y	Y	Y	Y
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Name _____ PAN

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Signature, Stamp & Date

Declaration for opting-out of nomination

Nomination Details								
Mandatory Details							Additional Mandatory Details in case of a minor nominee	
	Name of Nominee	Share of nominee (%) [*]	Nominee Relationship ^{**}	Postal Address of nominees/Guardian in case of Minor	Mobile number & E-mail of nominees/Guardian in case of minor	Identity Number ^{***}	D.o.B. of nominee ^{****}	Guardian Name
5				<input type="checkbox"/> Postal address as per the applicant			DD/MM/YYYY	
6				<input type="checkbox"/> Postal address as per the applicant			DD/MM/YYYY	
7				<input type="checkbox"/> Postal address as per the applicant			DD/MM/YYYY	
8				<input type="checkbox"/> Postal address as per the applicant			DD/MM/YYYY	
9				<input type="checkbox"/> Postal address as per the applicant			DD/MM/YYYY	
10				<input type="checkbox"/> Postal address as per the applicant			DD/MM/YYYY	

* Sum of Allocation % across all nominees in the folio should add up to 100% exactly.

** Nominee relationship shall be namely Spouse, Father, Mother, Daughter, Son, & if Others needs to specify

*** Provide only number: PAN or Driving Licence or last 4 digit of Aadhaar. However, in case of NRI / OCI / PIO, Passport number. Copy of the document is not required.




**** Proof of Date of Birth of the minor to be attached.

SECTION B



(Declaration Form for opting out of nomination)

☐ I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our MF Folio/ and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our MF Folio, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the MF Folio.

I/We have read and understood the instructions on nomination given in KIM/SID and I/We hereby undertake to abide by the same.

 Signature of Sole/First Applicant/Guardian	 Signature of the 2nd unitholder	 Signature of the 3rd unitholder
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*Signature of two witness(es), along with name and address are required, if the account holder affixes thumb impression, instead of wet signature.

Sr. No.	Witness Name & Address	Witness Signature (Mandatory)
1.		
2.		

1) I / We want the details of my / our nominee to be printed in the statement of holding, provided to me/ us by the AMC / DP as follows; (please tick, as appropriate)

Name of nominee(s) Nomination: ☐ Yes ☐ No

2) I hereby authorize _____ (nominee number _____) to operate my account on my behalf, in case of my incapacitation in terms of paragraph 3.5 of the circular.

He / She is authorized to encash my assets up to _____ % of assets in the account / folio or Rs. _____. (strike off portions that are not relevant)

Instructions

1. The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly.
2. Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder cannot nominate.
3. Nomination is not allowed in a folio of a Minor unitholder.
4. The signatories for this nomination form in joint folios / account, shall be the same as that of your joint MF folio / account. i.e.
 - Either or Survivor' Folios / Accounts - any one of the holder can sign
 - 'Jointly' Folios / Accounts - both holders have to sign
5. A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee needs to be provided.
6. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
7. The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder.
8. A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
9. New folio refers to the folio created on or after March 1, 2025
10. Multiple Nominees: Nomination can be made in favour of multiple nominees, subject to a maximum of ten nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding upto a total of 100%. If the total percentage of allocation amongst multiple nominees does not add up to 100%, the nomination request shall be treated as invalid and rejected. If the percentage of allocation/ share for each of the nominee is not mentioned, the allocation /claim settlement shall be made equally amongst all the nominees.
11. You can make nomination or change nominee any number of times without any restriction. Every new nomination for a folio/account shall overwrite the existing nomination, if any.
12. Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio / account.
13. Nomination shall stand rescinded upon the transfer of units.
14. Investors holding a folio, either singly or jointly, may designate any one of their nominees (excluding minor nominees) to operate the folio in the event of physical incapacitation, provided the investor retains the legal capacity to contract. Investor can specify either the percentage or absolute value of assets in the folio that can be encashed by such nominee. This mandate can be changed any time you choose.
The above clause shall be applicable for folio having multiple holders, irrespective of mode of holding and only in the event where all the holders are simultaneously incapacitated and having registered nominee/s.
Definition of Incapacitation:
Incapacitation referred to here is the physical incapacitation but having capacity to enter into a contract in terms of section 11 of the Indian Contract Act, 1872. Hence, this SOP does not include investors who are otherwise incapacitated because of being in coma or are unconscious or in support of a ventilator.
Please refer SAI for detail process for operation of accounts in case of an incapacitated investor.
15. Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual Fund / Trustees against the legal heir(s).
16. Cancellation of Nomination: Request for cancellation of Nomination made can be made only by the unitholders. The nomination shall stand rescinded on cancellation of the nomination and the AMC shall not be under any obligation to transfer / transmit the units in favour of the Nominee.
17. Unitholders who do not wish to nominate are required to confirm the same by selecting the declaration for opting out of nomination section of the nomination form. (Section B)
18. The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
19. In respect of folios/accounts where the Nomination has been registered, the AMC will not entertain any request for transmission / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.
20. AMCs / DPs shall transmit the folio / account to the nominee(s) upon receipt of 1) copy of death certificate and 2) completion / updation of KYC of the nominee(s). The nominee is not required to provide affidavits, indemnity, undertakings, attestations or notarization.
21. Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall lie against the AMC / DP.
22. **Joint Accounts:**

Event	Transmission of Account / Folio to
Demise of one or more joint holder(s)	• Surviving holder(s) through name deletion • The surviving holder(s) shall inherit the assets as owners.
Demise of all joint holders simultaneously – having nominee	Nominee
Demise of all joint holders simultaneously – not having nominee	Legal heir(s) of the youngest holder

23. In case of any subsisting credit facilities secured by a duly created pledge, claimant to clear the pledge instructions. AMC/RTA shall allow the transfer of assets only for the clear/free units, failing which the transmission will be rejected, and the units will remain under lock.

24. Transmission aspects

- Upon demise of the investor, the nominees shall have the option to either continue as joint holders with other nominees or for each nominee(s) to open separate single account / folio.
- In case all your nominees do not claim the assets from the AMC / DP, then the residual unclaimed asset shall continue to be with the AMC in case of MF units and with the concerned Depository in case of Demat account.
- Death of Nominee/s: In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, upon demise of one of the nominees prior to the demise of the investor and if no change is made in the nomination, then the units shall be distributed to the surviving nominees on pro-rata basis upon demise of the investor,, as illustrated in below table. Any odd lot after division / fraction of %, shall be transferred to the first nominee mentioned in the nomination form.

% share as specified by investor at the time of nomination		% assets to be apportioned to surviving nominees upon demise of investor and nominee 'A'			
Nominee	% share	Nominee	% initial share	% of A's share to be apportioned	Total % share
A	60%	A	0	0	0
B	30%	B	30%	45%	75%
C	10%	C	10%	15%	25%
Total	100%	-	40%	60%	100%