

# TRANSACTION FORM



Mutual Fund

Please read the instructions carefully. Please strike off any sections that are not relevant or not applicable.

1. DISTRIBUTOR INFORMATION					
ARN code	RIA code	ARN / RIA Name	Sub broker ARN code	Sub broker code**	EUIN*
ARN -	RIA -		ARN -		
*Employee Unique Identification Number **As allotted by ARN holder. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. Declaration for "execution-only" transaction (only where EUIN box is left blank). By mentioning RIA code, I/We authorize you to share my/our transactions data feed/portfolio holdings/ NAV details under Direct Plan of scheme(s) managed by you with the Investment Adviser.					
2. UNIT HOLDER DETAILS (Mandatory)					
Folio No. _____			First Unitholder's PAN _____		
Name Of Unitholder _____					
3. ADDITIONAL PURCHASE					
In case of single scheme the cheque should be drawn in favor of scheme name and for multiple schemes, cheque should be drawn in favor of "Angel One Mutual Fund - Common Collection A/c"					
Scheme / Plan	Growth	IDCW*	Amount		
Angel One _____	<input type="checkbox"/>	<input type="checkbox"/>	₹	_____	
Angel One _____	<input type="checkbox"/>	<input type="checkbox"/>	₹	_____	
Angel One _____	<input type="checkbox"/>	<input type="checkbox"/>	₹	_____	
Note: Maximum 3 schemes allowed in one application. + If Multiple option is opted then the investment amount should match with the total amount.			<b>TOTAL +</b>	₹ _____	
Amount ₹ _____ (in words) _____					
Mode of Payment <input type="checkbox"/> Cheque <input type="checkbox"/> Electronic Fund Transfer <input type="checkbox"/> Existing OTM <b>UMRN No.</b> _____ (If existing OTM)					
Cheque No./UTR _____ dated <input type="text" value="DDMMYYYY"/>					
Bank _____ Branch & City _____ *IDCW option is currently not available in the schemes of Angel One Mutual Fund					
4. SWITCH					
From Scheme <b>Angel One</b>			To Scheme <b>Angel One</b>		
Plan <input checked="" type="checkbox"/> Regular <input type="checkbox"/> Direct <b>Option</b> <input checked="" type="checkbox"/> Growth <input type="checkbox"/> IDCW*			Plan <input checked="" type="checkbox"/> Regular <input type="checkbox"/> Direct <b>Option</b> <input checked="" type="checkbox"/> Growth <input type="checkbox"/> IDCW*		
No. of Units _____ OR <input type="checkbox"/> All units <input checked="" type="checkbox"/> OR _____ *IDCW option is currently not available in the schemes of Angel One Mutual Fund					
Amount ₹ _____ (in words) _____					
5. REDEMPTION					
Scheme <b>Angel One</b> Plan <input checked="" type="checkbox"/> Regular <input type="checkbox"/> Direct					
Option <input checked="" type="checkbox"/> Growth <input type="checkbox"/> IDCW* No. of Units _____ OR <input type="checkbox"/> All units <input checked="" type="checkbox"/> OR _____					
Amount ₹ _____ (in words) _____					
Legal Entity Identification# (Applicable for Non-Individuals only) _____ *IDCW option is currently not available in the schemes of Angel One Mutual Fund					
Please note that redemption proceeds will be credited to the Default Bank Account. In case you wish to receive the redemption proceeds in an account other than the primary Bank Account registered with us, then please mention alternate bank name and account number registered in the folio.					
Bank Name _____ A/c No. _____					
6. SYSTEMATIC WITHDRAWAL PLAN (SWP)					
Scheme <b>Angel One</b> Plan <input checked="" type="checkbox"/> Regular <input type="checkbox"/> Direct					
Option <input checked="" type="checkbox"/> Growth <input type="checkbox"/> IDCW* Withdrawal Frequency <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Half Yearly <input type="checkbox"/> Annual					
Withdrawal Instalment ₹ _____ Withdrawal Date <input checked="" type="checkbox"/> <input type="text" value="DD"/>					
Withdrawal From <input type="text" value="MMYYYY"/> to <input type="text" value="MMYYYY"/>					
Bank Name _____ Other than default bank registered in folio Bank A/c No. _____					
*IDCW option is currently not available in the schemes of Angel One Mutual Fund					
7. SYSTEMATIC TRANSFER PLAN (STP)					
From Scheme <b>Angel One</b> Plan _____ Option <input checked="" type="checkbox"/> Growth <input type="checkbox"/> IDCW*			To Scheme <b>Angel One</b> Plan _____ Option <input checked="" type="checkbox"/> Growth <input type="checkbox"/> IDCW*		
Transfer Instalment Amount ₹ _____ Starting Date <input type="text" value="DDMMYYYY"/> Ending Date <input type="text" value="DDMMYYYY"/>					
<input type="checkbox"/> Daily (Note: Daily STP would be processed on all business days)					
<input type="checkbox"/> Weekly (STP Day) <input checked="" type="checkbox"/> Mon <input type="checkbox"/> Tue <input type="checkbox"/> Wed <input type="checkbox"/> Thu <input type="checkbox"/> Fri					
<input type="checkbox"/> Fortnightly STP date 1st _____ 16th _____ <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly (STP Date) <input type="text" value="DD"/>					
(This option is not applicable for daily frequency. In case of any discrepancy between no. of instalment and start-end date, period as per start date would be considered)					
*IDCW option is currently not available in the schemes of Angel One Mutual Fund					
DECLARATION AND SIGNATURE(S) (To be signed by ALL UNIT HOLDERS if mode of holding is 'Joint')					
I/We have read and understood the contents of the respective Scheme Information Document, Statement of Additional Information and Key Information Memorandum of Angel One Mutual Fund. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this transaction. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.					
For investors investing in Direct Plan : I/We hereby agree that the AMC has not recommended or advised me/us regarding the suitability or appropriateness of the product / scheme / plan.					
<input type="checkbox"/> Please <input checked="" type="checkbox"/> if the EUIN space is left blank : I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.					
Signatures	1st Unitholder/Guardian/Authorised Signatory/POA		2nd Unitholder/Guardian/Authorised Signatory/POA		3rd Unitholder/Guardian/Authorised Signatory/POA
					Date <input type="text" value="DDMMYYYY"/>

## INSTRUCTIONS FOR COMPLETING THE TRANSACTION FORM

### General Instructions

- This Transaction Form would help in making purchases, switches, redemptions, systematic transfer/ withdrawal from existing investments. New applicants who wish to invest in Angel One Mutual Fund should fill a separate prescribed Common Application Form.
- Please read the Scheme information Document and KIM of the respective schemes carefully before investing.
- Please strike off any sections that are not relevant / applicable. Please counter-sign in accordance with your mode of holding against any corrections that you make in the Transaction Form.
- Please note that section 2 has to be filled mandatorily.
- Please refer the 'Guidance Notes' below. This should help in filling the necessary details correctly and completely.
- Completed Transaction Forms should be submitted to your nearest Investor Service Center of Angel One Mutual Fund or CAMS Ltd.
- If the investor has more than one transaction to provide, he should use separate form for each transaction.

### INSTRUCTIONS FOR FILLING THE TRANSACTION FORM

#### Section 1 – DISTRIBUTOR INFORMATION

- In case, the investor is directly applying, then they should clearly mention "DIRECT" in the ARN column.
- Any edition or cancellation of the Distributor Information should be countersigned by the investor else the same is liable to be rejected.
- Distributors are advised to ensure that they fill in the RIA code, in case they are a Registered Investment Advisor.
- Quoting of EUIN is mandatory in case of advisory transactions.
- Distributors are advised to ensure that the sub broker affixes his/her ARN code in the column "Sub broker ARN code" separately provided, in addition to the current practice of affixing the internal code issued by the main ARN holder in the "Sub broker code (as allotted by ARN holder)" column and the EUIN of the Sales Person (if any) in the "EUIN" column.
- Investors are requested to note that EUIN is applicable for transactions such as Purchases, Switches, Registrations of SIP / STP / Trigger STP / IDCW Transfer Plan and EUIN is not applicable for transactions such as Instalments under SIP/ STP / SWP / STP Triggers, IDCW Reinvestments, Redemption, SWP Registration, Zero Balance Folio creation and instalments under IDCW Transfer Plans.
- Investors are requested to note that EUIN is largely applicable to sales persons of non individual ARN holders only (whether acting in the capacity of the main distributor or sub broker). Further, EUIN will not be applicable for overseas distributors who comply with the requirements as per AMFI circular CIR/ ARN-14/12-13 dated July 13, 2012.

#### Section 2 – UNIT HOLDERS DETAILS

- This section is mandatory.
- Please furnish the name of the Sole / First Unit Holder and the existing Folio Number.

#### Section 3 – ADDITIONAL PURCHASE REQUEST

- Investors subscribing under Direct Plan of the Schemes should indicate the Scheme/Plan name in the transaction form as "Scheme Name – Direct Plan" for e.g. "Angel One Nifty Index Fund - Direct Plan". Investors should also indicate "Direct" in the ARN column of the transaction form. However, in case Distributor code is mentioned in the transaction form, but "Direct Plan" is indicated against the Scheme name, the Distributor code will be ignored and the transaction will be processed under Direct Plan. Please note, where application is received for Regular Plan without Distributor code or "Direct" mentioned in the ARN Column, the application will be processed under Direct Plan.
  - For Additional purchase, cheque or demand draft should be payable locally at the city where the purchase application is deposited, and should be drawn on any bank that is a member of the local Clearing House. All cheques/ drafts accompanying the additional purchase request should be crossed "Account Payee Only", and drawn in favour of the Scheme in which the investment is being made.
- Note:**
- Third Party payment will not be accepted. In case of exceptions (as per AMFI Circular) to third party payment, please fill the 'Third Party Declaration Form'. In case of payment made by Bank draft, please attached Banker certificate as well.
  - as per RBI guidelines, cheques with alterations in Payee name and the Amount will not be honored. Hence alterations in the cheques should be avoided.
  - Non-Resident Indians (NRI) and Person of Indian Origin (PIOs) are requested to attach a Foreign Inward Remittance Certificate (FIRC) or an Account Debit Certificate from the bankers along with the additional purchase request form to enable the AMC to ascertain the repatriation status of the amount invested.
  - In case the investor desires to invest in more than one scheme/ plan/ option, he should submit a separate payment instrument and a separate transaction form for each such investment.
  - Please mention the Folio Number and the Name of the First Unit holder behind the cheque / draft before they are handed over to any courier / messenger / distributor / ISC.

#### Section 4 - SWITCH REQUEST

- Please specify the Source and Target - Scheme / Option for the switch transaction.
- Where Units under a Scheme are held under both Regular and Direct Plans and the switch request pertains to the Direct Plan, the same must clearly be mentioned on the request, failing which the request would be processed from the Regular Plan. However, where Units under the requested Option are held only under one Plan, the request would be processed under such Plan.
- Please specify the amount / number of units to be switched. If all units are to be switched, then please tick against the box provided.
- If the balance in the Scheme - Option after taking into account the switch is below the minimum switch size (either in amount or in units whichever is less), the entire balance would be switched to the target scheme.
- If the switch request specifies both amount and units for switch, the switch out transaction would be processed on the basis of number of units.

#### Section 5 – REDEMPTION REQUEST

- Please specify the scheme / option details and the amount / number of units you wish to redeem. If all units are to be redeemed, please tick against the box provided for the purpose.
- Where Units under a Scheme are held under both Regular and Direct Plans and the redemption request pertains to the Direct Plan, the same must clearly be mentioned on the request, failing which the request would be processed from the Regular Plan. However, where Units under the requested Option are held only under one Plan, the request would be processed under such Plan.

- If the redemption request specifies both amount and units for redemption, the redemption transaction would be processed on the basis of number of units.
- In case the number of units or amount is not specified in the redemption request, the request will be rejected.
- If the balance units in the folio at the time of redemption is not adequate to cover the amount of request, all units in the folio shall be redeemed.
- The Bank Mandate mentioned in the original application form will be considered as the default bank mandate and all additional bank mandates would be considered as optional bank mandates. To change the Default Bank Mandate under a folio, the investor needs to fill the Bank Accounts Registration Form and submit it to the nearest Investor Service Center.
- In case the investor wants the redemption proceeds to be credited to any one of the optional bank accounts from amongst the bank mandates registered under the folio, the investor needs to clearly indicate the same in the redemption application; in the absence of such indication, the redemption proceeds would be credited to the default bank account.
- In case request for redemption is received together with a change of bank account (using Transaction Form or Bank Accounts Registration Form) or before verification and validation of the new bank account, the redemption request would be processed to the registered default bank account. Unit holders may note that it is desirable to submit their requests for change in bank details at least seven working days prior to date of redemption/IDCW payment, if any. Any redemption request placed along or during this period shall ordinarily be processed as per the earlier bank account registered in the records of the Registrars.
- Angel One Mutual Fund will endeavour to remit the redemption proceeds through electronic mode, wherever sufficient bank account details of the unit holder are available.

#### Section 6 – SYSTEMATIC WITHDRAWAL PLAN (SWP)

Please specify the scheme details, withdrawal instalment amount, the number of instalments, the total withdrawal amount, the withdrawal frequency and the withdrawal period.

SWP Frequency	Minimum Amount	Minimum Instalments (Nos.)
Monthly	Rs.1,000/- & in multiples of Re.1/- thereafter	2
Quarterly	Rs.3,000/- & in multiples of Re.1/- thereafter	2
Half-Yearly	Rs.6,000/- & in multiples of Re.1/- thereafter	2
Yearly	Rs.6,000/- & in multiples of Re.1/- thereafter	2

#### Section 7 – SYSTEMATIC TRANSFER PLAN (STP)

Default frequency is monthly

STP Frequency	Minimum Amount	Minimum Instalments (Nos.)
Daily	Rs.250/- & in multiples of Re.1/- thereafter	30
Weekly	Rs.500/- & in multiples of Re.1/- thereafter	12
Fortnightly	Rs.500/- & in multiples of Re.1/- thereafter	12
Monthly	Rs.1,000/- & in multiples of Re.1/- thereafter	12
Quarterly	Rs.3,000/- & in multiples of Re.1/- thereafter	4

- If the start date is not provided by unit holder, then default STP would start from the next subsequent cycle after meeting the minimum registration requirement of 5 calendar days.
- Load Structure of the source scheme as on the date of enrolment of STP shall be applicable.
- The provision of 'Minimum redemption amount' specified in the SID of Scheme and 'Minimum application amount' specified in the SID of the Target Schemes will not be applicable for Daily STP.

#### COMMON INSTRUCTION (SWP / STP)

- Please note that STP/SWP request would be registered within 5 calendar days from the date of submission of form (excluding submission date).
- If any STP / SWP instalment due date falls on a non-Business day, then the respective transactions will be processed on the next Business day.
- If the STP / SWP period or no. of instalments is not specified by the applicant in the transaction form, the transactions will be processed until the balance of units in the unitholder's folio in the Source scheme becomes zero; STP/SWP mandate will terminate automatically if all Units from the respective source scheme are redeemed or upon the Mutual Fund receiving a written intimation of death of the sole / 1st Unit holder.
- The STP/SWP mandate may be discontinued by a Unit holder by giving a notice of 10 calendar days.
- STP mandate will terminate automatically if all Units held by the unitholder in the Scheme are redeemed or upon the Mutual Fund receiving a written intimation of pledge or death of the sole / 1st Unit holder.
- This facility is not available for units which are under any Lien/Pledged or any lock-in period.

#### DECLARATION AND SIGNATURES

- All signatures should be in English or any Indian language. Thumb impressions should be from the left hand for males and the right hand for females and in all cases be attested by a Magistrate, Notary Public or Special Executive Magistrate.
- Applications by minors should be signed by their guardians. In the case of a Hindu Undivided Family (HUF), the Karta should sign on behalf of the HUF.
- Applications by non individual investors must be signed by the authorised officials. A list of authorized officials, duly certified and attested, should also be attached to the transaction form.
- In case of application through constituted attorney, please ensure that the POA document is signed by the beneficial investor (POA donor) and the Constituted Attorney. The signature in the transaction Form, in such cases should clearly indicate that the signature is by the Constituted Attorney.
- In case of application through constituted Attorney, please ensure that the POA document is signed by the beneficial investor (POA donor) and the Constituted Attorney. The Signature in the transaction Form, in such cases should clearly indicate that the signature is by the Constituted Attorney.

#### Note: IMPLEMENTATION OF AMENDMENTS IN INDIAN STAMP ACT, 1899

Investors / Unit Holders of all the Scheme(s) of the Mutual Fund pursuant to Notification No. S.O. 4419(E) dated December 10, 2019 issued by Department of Revenue, Ministry of Finance, Government of India, read with Part I of Chapter IV of Notification dated February 21, 2019 and Notification dated March 30, 2020 issued by Legislative Department, Ministry of Law and Justice, Government of India on the Finance Act, 2019, a stamp duty @ 0.005% of the transaction value would be levied on mutual fund transactions with effect from July 1, 2020. Accordingly, pursuant to levy of stamp duty, the number of units allotted on purchase, switch-ins, SIP/STP instalments to the unitholders would be reduced to that extent.


For more information visit us at

 [www.angelonemf.com](http://www.angelonemf.com)

E-mail us at

 [support@angelonemf.com](mailto:support@angelonemf.com)

Call us (Toll free) at

 1800-209-0231

(9.00 a.m. to 6.00 p.m. on Monday to Friday & from 9.00 a.m. to 1 p.m. on 1st & 3rd Saturday except Sunday, 2nd & 4th Saturday & Non Business Day)