Classified as Internal

To be submitted on Non-Judicial Stamp Paper of appropriate value, as applicable in the relevant State where the claimant resides. In case of NRIs, State where registered office of AMC located shall be considered.
To be executed in the presence of a Notary Public / Judicial Magistrate First Class (JMFC) / Gazetted Officer

Annexure-1: BOND OF INDEMNITY

For Transmission of Securities / Mutual Fund Units held by a Sole Holder/all holders who died without registering a Nominee.

To be furnished jointly or severally, as may be applicable, by all Legal Heir(s), including the Claimant(s.

ASSET TYPE – TICK AS APPLICABLE

Securities (Shares / Debentures / Bonds / Mutual Fund units etc.) held in:

☐ Physical mode / Statement of Account (SOA)

☐ Demat mode

PART A – DETAILS OF HOLDING

I/We do hereby solemnly affirm and state on oath as follows:

That Mr./Ms. _____ ("Name of the deceased security/unit holder") was the sole holder/holders of the following securities / mutual fund units:

S. No.	**Name of Mutual Fund Scheme** */ Company*	**Folio No.** */ Client ID / DP ID / / Certificate No.*	*Distinctive No. (if applicable)*	**No. of Units / Securities Held**
1			*From: NA* *To: NA*	
2			*From: NA* *To: NA*	
3			*From: NA* *To: NA*	
4			*From: NA* *To: NA*	

PART B – BASIS OF SUCCESSION & DETAILS OF LEGAL HEIR(S)

That the aforesaid deceased holder(s) died on _____, without registering any nominee, leaving behind him/her the following persons as the only surviving legal heirs, according to the laws of:

☐ Intestate succession by which the deceased was governed at the time of his/her death.

☐ Testamentary succession – i.e., under a Will of the deceased.

(Please tick one of above checkboxes, as applicable)

Name of Legal Heir(s) / Claimant(s)*	Address & Contact Details	Age	Relationship with the Deceased

**If any of the Legal Heir(s) other than claimant has expired, verifiable death certificate should be submitted for such deceased Legal Heir(s) also.*

PART C – REQUEST & INDEMNITY

Therefore, I/We, the Legal Heir(s)/Claimant(s) and deponent(s) herein, have approached _____ (Name of the AMC / Listed Company / Issuer / RTA / Depository Participant / Depository) with a request to transmit the aforesaid securities/units in the name of the undersigned Mr./Ms. _____[Name(s) of the legal heir(s)/claimant(s)] (#), on my/our behalf, without insisting on production of a Succession Certificate / Probate of Will / Letter of Administration or any Court Order, for which we execute this indemnity as herein contained, relying on the information given by us and believing the same to be true.

In consideration of my/our request to transmit the above-said securities/units to the name of the undersigned legal heir(s)/claimant(s) named above (#), I/We hereby jointly and severally agree and undertake to indemnify and keep indemnified, saved, defended and held harmless the said Listed Company/Issuer/AMC and its RTA/Depository Participant/Depository, and their respective successors and assigns, at all times hereafter, against all losses, costs, claims, actions, demands, risks, charges, expenses, damages, and liabilities whatsoever, which they may suffer and/or incur by reason of transmitting the said securities/units as aforesaid, at my/our request, to the undersigned legal heir(s)/claimant(s), without insisting on production of a Succession Certificate / Probate of Will / Letter of Administration or any Court Order (of competent jurisdiction).

This indemnity shall be binding on me/us, our respective heirs, executors, administrators, and legal representatives, and shall remain in full force and effect irrespective of whether the asset transmitted is a security or a mutual fund unit, or both.

I/We declare that, to the best of my/our knowledge and belief, there is no pending dispute, litigation or competing claim amongst the legal heir(s) and claimant(s) in relation to the aforesaid securities/units or the succession thereof.

PART D – EXECUTION

IN WITNESS WHEREOF the said

1) Mr./Ms. Name:_____Signature:_____ (Name & signature of witness)

2) Mr./Ms. Name:_____ Signature:_____s_____(Name & signature of witness)

have hereunto set their respective hands and seals this _____ day of _____. Signed and delivered by the said legal heir(s).

Name of the Legal Heir(s) / Claimant(s)	Signature of the Legal Heir(s) / Claimant(s)
1.	X
2.	X
3.	X

Note: Where any person required to sign this document is unable to sign, he/she may affix his/her left thumb impression in lieu of signature.

If there are more than 3 Legal Heir(s)/Claimants, additional row can be inserted.

PART E – ATTESTATION BY NOTARY

Signed before me,

Location: _____

Date: _____ _____ *Signature of Notary*

Official Stamp, Seal & Registration No. of Notary: _____